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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1761

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number

10/726,870

Filing Date

2 December 2003

First Named Inventor

Masashi Goto

Art Unit

2818

Examiner Name

Dao H. Nguyen

Attorney Docket Number

2204-011-03

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/ Incomplete Application

☐ Reply to Missing Parts under 37 CFR1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

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☐ After Allowance Communication to TC

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Return receipt postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Graybeal Jackson Haley, LLP

Signature

Printed Name

Stephen M. Evans

Date

13 April 2007

Reg. No.

37,128

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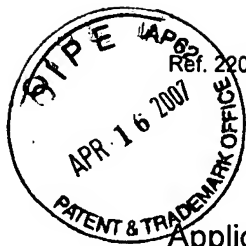
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Masashi GOTO *et al.*

Title: DIELECTRIC FILM, ITS FORMATION METHOD,  
SEMICONDUCTOR DEVICE USING THE DIELECTRIC  
FILM AND ITS PRODUCTION METHOD

Application No.: 10/726,870

Filing Date: 2 December, 2003

Examiner/Unit: Dao H. Nguyen / 2818

Attorney Docket No.: 2204-011-03

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on this 13<sup>th</sup> day of April 2007.

Signature

**SUBSTITUTE RESPONSE TO OFFICE ACTION MAILED ON 25 OCTOBER 2007**

**TO COMMISSIONER FOR PATENTS**

This paper is a Substitute Response to applicants' Response mailed on 26 March 2007 ("Original Response") concerning the above-referenced Office Action. This Substitute Response only differs from the Original Response in that additional remarks from the inventors are present. Because applicant's Original Response has likely not been entered or considered, it is being reproduced in its entirety. Consideration of the present remarks, which are underlined for ease of distinction, is requested.

**Amendments to the Specification** begin on page 2 of this paper,

**Amendments to the Claims** are reflected in the listing of claims that begin on page 3 of this paper, and

**Remarks** begin on page 7 of this paper.